

ANNE MILGRAM
ATTORNEY GENERAL OF NEW JERSEY
Division of Law
124 Halsey Street
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Newark, New Jersey 07101

By: Kim D. Ringler
Deputy Attorney General
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FILED

JANUARY 25, 2010

**NEW JERSEY STATE BOARD
OF MEDICAL EXAMINERS**

STATE OF NEW JERSEY
DEPARTMENT OF LAW AND PUBLIC SAFETY
DIVISION OF CONSUMER AFFAIRS
STATE BOARD OF MEDICAL EXAMINERS

IN THE MATTER OF THE SUSPENSION
OR REVOCATION OF THE LICENSE OF

JOSHUA D. POLLACK, M.D.
License No. 25MA05060000

TO PRACTICE MEDICINE AND SURGERY
IN THE STATE OF NEW JERSEY

Administrative Action

CONSENT ORDER

This matter was opened to the New Jersey State Board of Medical Examiners ("Board") by Anne Milgram, Attorney General of New Jersey (Kim D. Ringler, Deputy Attorney General, appearing) based on allegations that Joshua D. Pollack, M.D. had used improper billing practices and committed insurance fraud pertaining to endoscopies on four pediatric patients. Dr. Pollack appeared and testified before the Preliminary Evaluation Committee of the Board on April 16, 2008 with his attorney, Joseph M. Gorrell, Esq., Wolf Block LLP.

Dr. Pollack is subject to the authority and discipline of the

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Board pursuant to N.J.S.A. 45:1-21 which supports the disposition herein.

On four occasions, Dr. Pollack treated pediatric patients, T.T., Z.F., R.L. and L.T., and billed for performing a nasal endoscopy when it appears that Dr. Pollack did not perform a nasal endoscopy on these children. The patients could not have undergone a nasal endoscopy without the knowledge of their parents who were present at the medical examination. In addition, the documentation in Dr. Pollack's medical records of these patient visits failed to comply with Board requirements and regulations. Accordingly, Dr. Pollack's conduct appears to have violated N.J.S.A. 45:1-21(b) for dishonest billing, and N.J.S.A. 45:1-21(h) and N.J.A.C. 13:35-6.5(b) for failing to maintain adequate medical records.

Dr. Pollack, being desirous of resolving this matter without formal proceedings, consents and agrees to each and every term of this Consent Order. The Board finds that the within disposition is adequately protective of the public health, safety and welfare.

THEREFORE, IT IS ON THIS 25TH DAY OF JANUARY 2010

HEREBY ORDERED AND AGREED THAT:

1. The Board hereby reprimands Joshua Pollack, M.D. for improper billing and failing to maintain proper medical records;
2. Dr. Pollack shall complete a Board approved ethics course at his own expense and demonstrate successful completion to the Board within nine months of the filed date of this Consent Order. Successful completion means that all sessions were attended, all

assignments were properly and appropriately completed and a passing grade was achieved which was unconditional and without reservation;

3. Dr. Pollack shall complete a Board approved record-keeping course at his own expense and demonstrate successful completion to the Board within nine months of the filed date of this Consent Order. Successful completion means that all sessions were attended, all assignments were properly and appropriately completed and a passing grade was achieved which was unconditional and without reservation;

4. Dr. Pollack shall reimburse all monies received in payment of the four endoscopies which are the subject of this matter to the payors within three months of the filed date of this Consent Order, and provide proof of such to the Board;

5. Dr. Pollack shall pay a \$10,000 penalty to the Board within two years of the filed date of this Consent Order; and

6. Dr. Pollack shall pay the costs incurred by the Board in connection with this matter, including expert's fees of \$3,100 and Enforcement Bureau investigatory costs of \$14,764 within two years of the filed date of this Consent Order.

7. A Certificate of Debt shall be filed in the amount of \$27,864.

8. The amounts owed pursuant to paragraphs 5, 6 and 7 herein shall be paid in regular monthly installments. Payments of \$1,161 shall be due and owing the first of each month beginning the month following Dr. Pollack's receipt of a filed copy of this Consent

Order and continuing for a total of 24 monthly payments. Payments shall be made by check payable to the Treasurer, State of New Jersey, and submitted to William Roeder, Executive Director, New Jersey State Board of Medical Examiners, P.O. Box 183, Trenton, New Jersey 08625-0183.

9. Dr. Pollack shall comply with the portion of the standard "Directives" of the Board pertaining to licensees who have been disciplined, a copy of which is attached hereto and made a part of the within Order.

NEW JERSEY STATE BOARD
OF MEDICAL EXAMINERS

By: 

Paul C. Mendelowitz, M.D.
Board President

I have read and understood the within Order and agree to be bound by its contents. I hereby consent to the entry of this Order.


JOSHUA D. POLLACK, M.D.

Dated: 1/4/00

I hereby consent to the form and entry of this Order.

BRACH EICHLER L.L.C.

Dated:

By: Joseph Gorrell, Esq.
Attorney for Joshua D. Pollack, M.D.

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NEW JERSEY STATE BOARD
OF MEDICAL EXAMINERS

By: 

Paul C. Mendelowitz, M.D.
Board President

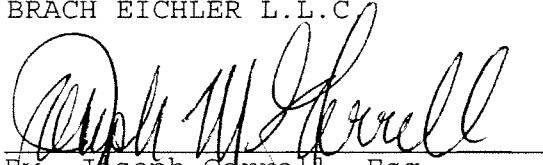
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JOSHUA D. POLLACK, M.D.

Dated:

I hereby consent to the form and entry of this Order.

BRACH EICHLER L.L.C.



By: Joseph Gorrell, Esq.
Attorney for Joshua D. Pollack, M.D.

Dated: 1/7/10

**DIRECTIVES APPLICABLE TO ANY MEDICAL BOARD LICENSEE
WHO IS DISCIPLINED OR WHOSE SURRENDER OF LICENSURE
HAS BEEN ACCEPTED**

APPROVED BY THE BOARD ON MAY 10, 2000

All licensees who are the subject of a disciplinary order of the Board are required to provide the information required on the addendum to these directives. The information provided will be maintained separately and will not be part of the public document filed with the Board. Failure to provide the information required may result in further disciplinary action for failing to cooperate with the Board, as required by N.J.A.C. 13:45C-1 et seq. Paragraphs 1 through 4 below shall apply when a license is suspended or revoked or permanently surrendered, with or without prejudice. Paragraph 5 applies to licensees who are the subject of an order which, while permitting continued practice, contains a probation or monitoring requirement.

1. Document Return and Agency Notification

The licensee shall promptly forward to the Board office at Post Office Box 183, 140 East Front Street, 2nd floor, Trenton, New Jersey 08625-0183, the original license, current biennial registration and, if applicable, the original CDS registration. In addition, if the licensee holds a Drug Enforcement Agency (DEA) registration, he or she shall promptly advise the DEA of the licensure action. (With respect to suspensions of a finite term, at the conclusion of the term, the licensee may contact the Board office for the return of the documents previously surrendered to the Board. In addition, at the conclusion of the term, the licensee should contact the DEA to advise of the resumption of practice and to ascertain the impact of that change upon his/her DEA registration.)

2. Practice Cessation

The licensee shall cease and desist from engaging in the practice of medicine in this State. This prohibition not only bars a licensee from rendering professional services, but also from providing an opinion as to professional practice or its application, or representing him/herself as being eligible to practice. (Although the licensee need not affirmatively advise patients or others of the revocation, suspension or surrender, the licensee must truthfully disclose his/her licensure status in response to inquiry.) The disciplined licensee is also prohibited from occupying, sharing or using office space in which another licensee provides health care services. The disciplined licensee may contract for, accept payment from another licensee for or rent at fair market value office premises and/or equipment. In no case may the disciplined licensee authorize, allow or condone the use of his/her provider number by any health care practice or any other licensee or health care provider. (In situations where the licensee has been suspended for less than one year, the licensee may accept payment from another professional who is using his/her office during the period that the licensee is suspended, for the payment of salaries for office staff employed at the time of the Board action.)

A licensee whose license has been revoked, suspended for one (1) year or more or permanently surrendered must remove signs and take affirmative action to stop advertisements by which his/her eligibility to practice is represented. The licensee must also take steps to remove his/her name from professional listings, telephone directories, professional stationery, or billings. If the licensee's name is utilized in a group practice title, it shall be deleted. Prescription pads bearing the licensee's name shall be destroyed. A destruction report form obtained from the Office of Drug Control (973-504-6558) must be filed. If no other licensee is providing services at the location, all medications must be removed and returned to the manufacturer, if possible, destroyed or safeguarded. (In situations where a license has been suspended for less than one year, prescription pads and medications need not be destroyed but must be secured in a locked place for safekeeping.)

3. Practice Income Prohibitions/Divestiture of Equity Interest in Professional Service Corporations and Limited Liability Companies

A licensee shall not charge, receive or share in any fee for professional services rendered by him/herself or others while barred from engaging in the professional practice. The licensee may be compensated for the reasonable value of services lawfully rendered and disbursements incurred on a patient's behalf prior to the effective date of the Board action.

A licensee who is a shareholder in a professional service corporation organized to engage in the professional practice, whose license is revoked, surrendered or suspended for a term of one (1) year or more shall be deemed to be disqualified from the practice within the meaning of the Professional Service Corporation Act. (N.J.S.A. 14A:17-11). A disqualified licensee shall divest him/herself of all financial interest in the professional service corporation pursuant to N.J.S.A. 14A:17-13(c). A licensee who is a member of a limited liability company organized pursuant to N.J.S.A. 42:1-44, shall divest him/herself of all financial interest. Such divestiture shall occur within 90 days following the the entry of the Order rendering the licensee disqualified to participate in the applicable form of ownership. Upon divestiture, a licensee shall forward to the Board a copy of documentation forwarded to the Secretary of State, Commercial Reporting Division, demonstrating that the interest has been terminated. If the licensee is the sole shareholder in a professional service corporation, the corporation must be dissolved within 90 days of the licensee's disqualification.

4. Medical Records

If, as a result of the Board's action, a practice is closed or transferred to another location, the licensee shall ensure that during the three (3) month period following the effective date of the disciplinary order, a message will be delivered to patients calling the former office premises, advising where records may be obtained. The message should inform patients of the names and telephone numbers of the licensee (or his/her attorney) assuming custody of the records. The same information shall also be disseminated by means of a notice to be published at least once per month for three (3) months in a newspaper of

general circulation in the geographic vicinity in which the practice was conducted. At the end of the three month period, the licensee shall file with the Board the name and telephone number of the contact person who will have access to medical records of former patients. Any change in that individual or his/her telephone number shall be promptly reported to the Board. When a patient or his/her representative requests a copy of his/her medical record or asks that record be forwarded to another health care provider, the licensee shall promptly provide the record without charge to the patient.

5. Probation/Monitoring Conditions

With respect to any licensee who is the subject of any Order imposing a probation or monitoring requirement or a stay of an active suspension, in whole or in part, which is conditioned upon compliance with a probation or monitoring requirement, the licensee shall fully cooperate with the Board and its designated representatives, including the Enforcement Bureau of the Division of Consumer Affairs, in ongoing monitoring of the licensee's status and practice. Such monitoring shall be at the expense of the disciplined practitioner.

(a) Monitoring of practice conditions may include, but is not limited to, inspection of the professional premises and equipment, and inspection and copying of patient records (confidentiality of patient identity shall be protected by the Board) to verify compliance with the Board Order and accepted standards of practice.

(b) Monitoring of status conditions for an impaired practitioner may include, but is not limited to, practitioner cooperation in providing releases permitting unrestricted access to records and other information to the extent permitted by law from any treatment facility, other treating practitioner, support group or other individual/facility involved in the education, treatment, monitoring or oversight of the practitioner, or maintained by a rehabilitation program for impaired practitioners. If bodily substance monitoring has been ordered, the practitioner shall fully cooperate by responding to a demand for breath, blood, urine or other sample in a timely manner and providing the designated sample.

**NOTICE OF REPORTING PRACTICES OF BOARD
REGARDING DISCIPLINARY ACTIONS**

Pursuant to N.J.S.A. 52:14B-3(3), all orders of the New Jersey State Board of Medical Examiners are available for public inspection. Should any inquiry be made concerning the status of a licensee, the inquirer will be informed of the existence of the order and a copy will be provided if requested. All evidentiary hearings, proceedings on motions or other applications which are conducted as public hearings and the record, including the transcript and documents marked in evidence, are available for public inspection, upon request.

Pursuant to 45 CFR Subtitle A 60.8, the Board is obligated to report to the National Practitioners Data Bank any action relating to a physician which is based on reasons relating to professional competence or professional conduct:

- (1) Which revokes or suspends (or otherwise restricts) a license,
- (2) Which censures, reprimands or places on probation,
- (3) Under which a license is surrendered.

Pursuant to 45 CFR Section 61.7, the Board is obligated to report to the Healthcare Integrity and Protection (HIP) Data Bank, any formal or official actions, such as revocation or suspension of a license (and the length of any such suspension), reprimand, censure or probation or any other loss of license or the right to apply for, or renew, a license of the provider, supplier, or practitioner, whether by operation of law, voluntary surrender, non-renewability, or otherwise, or any other negative action or finding by such Federal or State agency that is publicly available information.

Pursuant to N.J.S.A. 45:9-19.13, if the Board refuses to issue, suspends, revokes or otherwise places conditions on a license or permit, it is obligated to notify each licensed health care facility and health maintenance organization with which a licensee is affiliated and every other board licensee in this state with whom he or she is directly associated in private medical practice.

In accordance with an agreement with the Federation of State Medical Boards of the United States, a list of all disciplinary orders are provided to that organization on a monthly basis.

Within the month following entry of an order, a summary of the order will appear on the public agenda for the next monthly Board meeting and is forwarded to those members of the public requesting a copy. In addition, the same summary will appear in the minutes of that Board meeting, which are also made available to those requesting a copy.

Within the month following entry of an order, a summary of the order will appear in a Monthly Disciplinary Action Listing which is made available to those members of the public requesting a copy.

On a periodic basis the Board disseminates to its licensees a newsletter which includes a brief description of all of the orders entered by the Board.

From time to time, the Press Office of the Division of Consumer Affairs may issue releases including the summaries of the content of public orders.

Nothing herein is intended in any way to limit the Board, the Division or the Attorney General from disclosing any public document.

FILED

JANUARY 29, 2010
NEW JERSEY STATE BOARD
OF MEDICAL EXAMINERS

STATE OF NEW JERSEY
DEPARTMENT OF LAW & PUBLIC SAFETY
DIVISION OF CONSUMER AFFAIRS
STATE BOARD OF MEDICAL EXAMINERS

In the Matter of:

ORDER ACCEPTING
SURRENDER OF LICENSE

PATRICIO SONZA, M.D.

This matter was opened before the New Jersey State Board of Medical Examiners (the "Board") upon the Board's receipt of a report from the Medical Practitioner Review Panel (the "Panel"), detailing findings and recommendations that were made by the Panel at the completion of an investigation of the practice of respondent Patricio Sonza, M.D. The Panel commenced an investigation of respondent's practice upon receiving notice from Hunterdon Medical Center ("HMC") that respondent's practice privileges were summarily suspended on July 28, 2008. HMC reported that the summary suspension was based on respondent's having performed a procedure on the wrong patient, having failed to respond to a patient's emergent condition post-operatively, and having failed to monitor a patient's blood pressure during the administration of anesthesia.

Dr. Sonza appeared before the Medical Practitioner Review Panel on January 23, 2009, represented by Joseph M. Gorrell, Esq., and then testified concerning the cases which led to the summary suspension of his privileges at HMC. Subsequent to respondent's

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appearance (on or about March 9, 2009), a settlement agreement was reached between Dr. Sonza and HMC, pursuant to which Dr. Sonza's privileges at HMC were reinstated, upon Dr. Sonza's agreement to voluntarily surrender his shares and terminate his anesthesia provider agreement with the anesthesia group which provided anesthesia services at HMC. Respondent subsequently resigned from the active staff at HMC on or about August 1, 2009.

During the course of its investigation, the Panel had opportunity to review relevant documentation supplied by HMC, to include, without limitation, transcripts of hearings that were held before a Fair Hearing Committee at HMC on five dates between November 2008 and January 2009, and to consider the testimony that respondent offered when he appeared before the Panel. Upon review of available information, the Panel found that grounds for disciplinary action against respondent exist based on two separate incidents which occurred at HMC. First, the Panel found that respondent engaged in gross negligence when he removed an epidural catheter from patient D.S. While respondent testified that he had been asked, by his partner, to discontinue an epidural catheter from a patient in a specific room, he conceded that he did not obtain the name of the patient from whom the catheter was to be removed, and that he never reviewed D.S.' chart. The evidence further suggests that respondent removed the catheter despite having been told, by D.S.'s nurse, that D.S. did not need her catheter removed and that

he must be acting on the wrong patient.

The Panel additionally found that respondent engaged in negligence in connection with his administration of spinal anesthesia to patient S.T. for a cesarean section delivery. Specifically, the Panel found that respondent left the operating suite at a time that S.T. was still his responsibility, and then failed to timely respond to a code which was called.

The Board has adopted the report and findings of the Panel, and thus has concluded that grounds for disciplinary action against respondent exist pursuant to N.J.S.A. 45:1-21(c) and/or (d). Dr. Sonza now represents that he will voluntarily surrender his medical license in the State of New Jersey, and further represents that he will hereafter refrain from engaging in any further practice of medicine or surgery, in New Jersey or anywhere else.

The Board is satisfied that Dr. Sonza's voluntary surrender of his New Jersey license, coupled with his representation that he will hereafter refrain from engaging in any medical practice in New Jersey or elsewhere, obviates the need for further administrative proceedings in this matter. The Board being satisfied that the entry of this Order is in the public interest, and that good cause exists to support the entry of this Order,

IT IS on this 28TH day of JANUARY, 2010

ORDERED and AGREED:

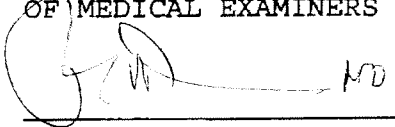
1. Respondent Patricio Sonza hereby surrenders, with

prejudice, his license to practice medicine and surgery in the State of New Jersey.

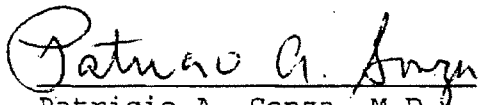
2. Respondent represents that he will, and is hereby ordered to, hereafter permanently refrain from engaging in the practice of medicine and surgery, in New Jersey or elsewhere.

NEW JERSEY STATE BOARD
OF MEDICAL EXAMINERS

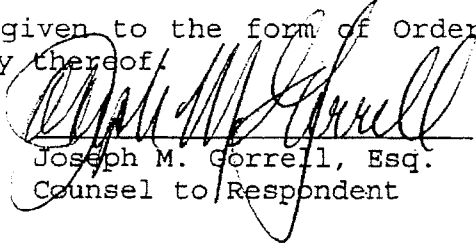
By:

 M.D.
Paul C. Mendelowitz, M.D.
Board President

I represent that I have reviewed this Order, and consent to its entry by the State Board of Medical Examiners. I further expressly represent and acknowledge that I will hereafter permanently refrain from engaging in any practice of medicine or surgery, in New Jersey or anywhere else.

 M.D.
Patricio A. Sonza, M.D.

Consent given to the form of Order and entry thereof.


Joseph M. Gorrell, Esq.
Counsel to Respondent

**DIRECTIVES APPLICABLE TO ANY MEDICAL BOARD LICENSEE
WHO IS DISCIPLINED OR WHOSE SURRENDER OF LICENSURE
HAS BEEN ACCEPTED**

APPROVED BY THE BOARD ON MAY 10, 2000

All licensees who are the subject of a disciplinary order of the Board are required to provide the information required on the addendum to these directives. The information provided will be maintained separately and will not be part of the public document filed with the Board. Failure to provide the information required may result in further disciplinary action for failing to cooperate with the Board, as required by N.J.A.C. 13:45C-1 et seq. Paragraphs 1 through 4 below shall apply when a license is suspended or revoked or permanently surrendered, with or without prejudice. Paragraph 5 applies to licensees who are the subject of an order which, while permitting continued practice, contains a probation or monitoring requirement.

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A licensee whose license has been revoked, suspended for one (1) year or more or permanently surrendered must remove signs and take affirmative action to stop advertisements by which his/her eligibility to practice is represented. The licensee must also take steps to remove his/her name from professional listings, telephone directories, professional stationery, or billings. If the licensee's name is utilized in a group practice title, it shall be deleted. Prescription pads bearing the licensee's name shall be destroyed. A destruction report form obtained from the Office of Drug Control (973-504-6558) must be filed. If no other licensee is providing services at the location, all medications must be removed and returned to the manufacturer, if possible, destroyed or safeguarded. (In situations where a license has been suspended for less than one year, prescription pads and medications need not be destroyed but must be secured in a locked place for safekeeping.)

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A licensee who is a shareholder in a professional service corporation organized to engage in the professional practice, whose license is revoked, surrendered or suspended for a term of one (1) year or more shall be deemed to be disqualified from the practice within the meaning of the Professional Service Corporation Act. (N.J.S.A. 14A:17-11). A disqualified licensee shall divest him/herself of all financial interest in the professional service corporation pursuant to N.J.S.A. 14A:17-13(c). A licensee who is a member of a limited liability company organized pursuant to N.J.S.A. 42:1-44, shall divest him/herself of all financial interest. Such divestiture shall occur within 90 days following the the entry of the Order rendering the licensee disqualified to participate in the applicable form of ownership. Upon divestiture, a licensee shall forward to the Board a copy of documentation forwarded to the Secretary of State, Commercial Reporting Division, demonstrating that the interest has been terminated. If the licensee is the sole shareholder in a professional service corporation, the corporation must be dissolved within 90 days of the licensee's disqualification.

4. Medical Records

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general circulation in the geographic vicinity in which the practice was conducted. At the end of the three month period, the licensee shall file with the Board the name and telephone number of the contact person who will have access to medical records of former patients. Any change in that individual or his/her telephone number shall be promptly reported to the Board. When a patient or his/her representative requests a copy of his/her medical record or asks that record be forwarded to another health care provider, the licensee shall promptly provide the record without charge to the patient.

5. Probation/Monitoring Conditions

With respect to any licensee who is the subject of any Order imposing a probation or monitoring requirement or a stay of an active suspension, in whole or in part, which is conditioned upon compliance with a probation or monitoring requirement, the licensee shall fully cooperate with the Board and its designated representatives, including the Enforcement Bureau of the Division of Consumer Affairs, in ongoing monitoring of the licensee's status and practice. Such monitoring shall be at the expense of the disciplined practitioner.

(a) Monitoring of practice conditions may include, but is not limited to, inspection of the professional premises and equipment, and inspection and copying of patient records (confidentiality of patient identity shall be protected by the Board) to verify compliance with the Board Order and accepted standards of practice.

(b) Monitoring of status conditions for an impaired practitioner may include, but is not limited to, practitioner cooperation in providing releases permitting unrestricted access to records and other information to the extent permitted by law from any treatment facility, other treating practitioner, support group or other individual/facility involved in the education, treatment, monitoring or oversight of the practitioner, or maintained by a rehabilitation program for impaired practitioners. If bodily substance monitoring has been ordered, the practitioner shall fully cooperate by responding to a demand for breath, blood, urine or other sample in a timely manner and providing the designated sample.

NJ License #

ADDENDUM

Any licensee who is the subject of an order of the Board suspending, revoking or otherwise conditioning the license, shall provide the following information at the time that the order is signed, if it is entered by consent, or immediately after service of a fully executed order entered after a hearing. The information required here is necessary for the Board to fulfill its reporting obligations:

Social Security Number¹: _____

List the Name and Address of any and all Health Care Facilities with which you are affiliated:

List the Names and Address of any and all Health Maintenance Organizations with which you are affiliated:

Provide the names and addresses of every person with whom you are associated in your professional practice: (You may attach a blank sheet of stationery bearing this information).

¹ Pursuant to 45 CFR Subtitle A Section 61.7 and 45 CFR Subtitle A Section 60.8, the Board is required to obtain your Social Security Number and/or federal taxpayer identification number in order to discharge its responsibility to report adverse actions to the National Practitioner Data Bank and the HIP Data Bank.

NOTICE OF REPORTING PRACTICES OF BOARD
REGARDING DISCIPLINARY ACTIONS

Pursuant to N.J.S.A. 52:14B-3(3), all orders of the New Jersey State Board of Medical Examiners are available for public inspection. Should any inquiry be made concerning the status of a licensee, the inquirer will be informed of the existence of the order and a copy will be provided if requested. All evidentiary hearings, proceedings on motions or other applications which are conducted as public hearings and the record, including the transcript and documents marked in evidence, are available for public inspection, upon request.

Pursuant to 45 CFR Subtitle A 60.8, the Board is obligated to report to the National Practitioners Data Bank any action relating to a physician which is based on reasons relating to professional competence or professional conduct:

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Pursuant to N.J.S.A. 45:9-19.13, if the Board refuses to issue, suspends, revokes or otherwise places conditions on a license or permit, it is obligated to notify each licensed health care facility and health maintenance organization with which a licensee is affiliated and every other board licensee in this state with whom he or she is directly associated in private medical practice.

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